



GENERAL BULLETIN

CONNECTICUT
BANKERS
ASSOCIATION
10 Waterside Drive
Farmington, CT 06032-3083
(860) 677-5060
FAX: (860) 677-5066

2010 – 95

May 25, 2010

SENT TO:

TO THE CHIEF EXECUTIVE OFFICER
OR INDIVIDUAL ADDRESSED

CEO, CEO+, Auditors, HR
& Security Specialists

**RE: CBA Web Seminar – Embezzlement For Investigators - Conducting Financial Crime Investigations
Tuesday, June 22, 2010 -- 2:30 p.m. - 4:30 p.m.**

Many financial crimes are also emotional crimes, and investigators must be particularly careful that the investigation does not raise more negative issues than it resolves. Conducting an investigation involving people's character, finances and relationships will likely change the lives of all participants. Embezzlement committed against a family member or business owner is among the most emotionally devastating crimes for victims. And because so many financial crimes are committed by financial professionals, investigators may have to rely on external sources including law enforcement, the victim's accountants and legal counsel. There are two simple goals for the investigator: to find the truth and determine responsibility for results. Even an inexperienced embezzler will do his/her best to make this goal unattainable. Although this presentation applies to any type of financial crime, embezzlement is given special attention.

The web seminar focuses upon six key functions every investigator must consider:

- Identifying and interviewing victims, witnesses, informants and suspects
- Gathering and cataloging appropriate evidence
- Documenting facts and opinions
- Coordinating law enforcement and private resources to ensure speedy apprehension
- Working with legal counsel to prosecute offenders civilly and criminally
- Recovering funds and investigative costs

By learning the resources and techniques available, any investigator may use this model to design and execute a successful financial crime investigation

HIGHLIGHTS

- The jury's perspective
- The most common embezzlement schemes
- Commercial and personal crimes
- The necessary evidence
- The investigator's allies
- Finding more clues
- The embezzlement investigation components and tasks

This presentation will to help you:

- Determine duties and responsibilities -- legal, moral and ethical
- Comply with current regulations and emerging practices
- Develop a policy and procedure that makes the best use of resources
- Locate sources of information, including physical evidence and testimony
- Prepare reports that will likely be examined in civil or criminal actions

AUDIENCE

Security officers, private/contract investigators, auditors and human resource managers. **CE Applied:** 2.5 hours towards the CRCM/CFSSP designations with the Institute of Certified Bankers, an affiliate of the American Bankers Association.

SPEAKER

Dana Turner is a security practitioner based in Pipe Creek, Texas. He has served as a law enforcement officer in several capacities -- including the investigation of business and banking crimes -- and he is celebrating his 35th year of crime. Dana has delivered thousands of educational programs offered by banking associations, state and federal examining and law enforcement agencies and security, audit and human resources organizations.

WHAT IS A WEB SEMINAR?

A web seminar is an online seminar featuring live audio and PowerPoint slides. Everything is delivered through your PC. No special hardware is needed; however, speakers or headphones are required. The program consists of 90 minutes of instruction and 30 minutes live Q&A. Each registration provides one connection to the web seminar, materials and 30 days access to the online seminar. You may have unlimited listeners on your connection by speaker phone and PC. You will receive a confirmation with your PIN, materials and instructions. If you do not receive a confirmation within two days of the event call 888-262-7701.

Free 30-Day Replay - Within five working days after the broadcast, you will receive a URL providing unlimited access to a recorded copy of the presentation for 30 days. This enables you to participate in the live web seminar, train additional staff and review the material as needed.

The **On-Demand Web Seminar** is a live web seminar that has been recorded and then streamed to your computer to watch at your convenience. The program can be viewed anytime 24/7. You can watch a portion, come back and pick up where you left off! Anyone at your branch may access the program from a computer using the login and password. When purchasing an On-Demand Web Seminar, you have unlimited access to the program for 6 months from the date of purchase.

Transmission, retransmission or republishing of the audio portion of the web seminar is prohibited. Your registration entitles you to one connection at one location with permission to make copies of materials for participants. Please complete one registration per location.

REGISTRATION INFORMATION

Registrations are requested to be submitted three days prior to the date of the web seminar. On-line registrations can be made at <http://www.bankersed.com/CTBANKERS/>. For all other registrations, please complete the attached registration form and send directly to Bankers Ed in advance of the program. Fee information for this program is listed on the registration form. **Payment is expected at the time of registration unless other arrangements are made prior to the event with the CBA.**

Late Registration: Registrations are accepted until the program starts. To ensure timely delivery of materials, etc., please register online when registering **two working days prior** to the broadcast (credit cards and e-checks accepted). If you would like assistance with online registration, contact 888-262-7701.

Please do not hesitate to contact either Kathy Parks or me if you have any questions about this web seminar.

Very truly yours,

LINDSEY R. PINKHAM
Senior Vice President & Secretary

attachment

CBA WEB SEMINARR

EMBEZZLEMENT FOR INVESTIGATORS

Tuesday, June 22, 2010

2:30 p.m. - 4:30 p.m. (EST)

REGISTER (Each registration entitles you to one connection at one location where an unlimited number of listeners may participate):

Name _____ Title _____

Company _____

Address _____

City _____ State _____ Zip _____

Phone _____ Fax _____ E-mail _____

THREE WAYS TO REGISTER FOR CBA WEB SEMINARS:

Prior to June 15th

Mail: Complete this form, include payment and **mail it to:** Bankers Ed: 5700 S. Mopac, #C310, Austin, TX 78749

Anytime Prior to the Program

On-line: Register on-line at <http://www.bankersed.com/CTBANKERS/>

Phone: Call 888-262-7701 with credit card information

CBA WEB SEMINAR – EMBEZZLEMENT FOR INVESTIGATORS

Tuesday, June 22, 2010 - (Seminar code: SW2-1284)

Web Seminar/Materials (live hookup) \$255 member \$510 non-member

On-Demand Web Seminar/Materials \$275 member \$550 non-member

METHOD OF PAYMENT (check one):

Check made payable to: Bankers Ed

Discover Card MasterCard Visa AMEX

Card Number _____ Exp. Date _____

Security Code (3 or 4 digits on back of card) _____

Signature _____ Amount \$ _____

For registration questions, please contact Bankers Ed customer support at 888-262-7701 or Kathy Parks at the Connecticut Bankers Association parks@ctbank.com at 860-677-5060.

*****Registrations must be sent to Bankers Ed*****

(Seminar code: SW2-1284)