



GENERAL BULLETIN

CONNECTICUT
BANKERS
ASSOCIATION
10 Waterside Drive
Farmington, CT 06032-3083
(860) 677-5060
FAX: (860) 677-5066

2010 - 54

March 29, 2010

SENT TO:

TO THE CHIEF EXECUTIVE OFFICER
OR INDIVIDUAL ADDRESSED

CEO, CEO+, COO, CRO,
Associate Members, Audit,
BSA, Compliance, Branch
Admin. & Security

RE: **2010 Bank Secrecy Act - Anti-Money Laundering Seminar**

The Connecticut Bankers Association will present its **2010 Bank Secrecy Act – Anti-Money Laundering Seminar** on **Wednesday, May 12, 2010** at the Crowne Plaza Hotel, Cromwell, CT.

OVERVIEW

A full-day, live, interactive seminar on bank secrecy, anti-money laundering and examination initiative in the current environment, taught by instructors who are knowledgeable, leading experts. This program will add value to those new to BSA compliance as well as those managing AML risk for years.

Seminar topics include:

- What's new in BSA/AML: Impact and Enforcement
- Emerging products, payment, and persons: Risks and Internal Controls
- Changes to the essentials: Reporting and Recordkeeping
- Identifying the red flags: Priorities and Actions
- OFAC updates: Rules and Enforcement
- Legal issues and updates in SAR monitoring and reporting
- The convergence of financial fraud with BSA/AML: A report card on industry efforts
- AML 101: Linking the bank and customer risk profiles to due diligence and suspicious monitoring
- Does your AML program meet regulatory expectations? Key strategies for improving your risk-based programs

CERTIFICATE OF BANK SECRECY ACT TRAINING

Each registrant will receive a Certificate of Bank Secrecy Act Training attesting that attending this comprehensive seminar fulfills the obligation of a bank's Board of Directors to provide continuing education to its compliance officer as required by the BSA regulations of each regulator.

COMPREHENSIVE TRAINING WORKBOOK

In addition, each attendee will receive a comprehensive, easy-to-follow training workbook that will also serve as a take-home reference resource.

WHO SHOULD ATTEND

This program is for all bank BSA/AML officers, auditors, branch administrators, compliance officers, operations personnel and security managers.

SPEAKER

Phillips G. Gay, Jr., CAMS, CRCM and CRP, is principal of the National Association for Bank Security/Profit Protection, LLC and the principal of the Compliance Advisory Service, Coral Springs, FL, and a frequent speaker at state and national compliance programs, as well as senior advisor to Paragon Compliance Group, a national compliance training concern. He was formerly Sr. Vice President of Commercial Bank of Florida, Corporate Vice President of First Union Corporation (Wachovia/Wells Fargo) and Sr. Vice President of Bank of North America. He has served in an advisory capacity on numerous government and American Bankers Association committees dealing with regulatory compliance matters.

Profit Protection, LLC, also very familiarly known as the National Association for Bank Security, is a bank security educational and consulting firm that has served members of the financial industry for more than 25 years with its products and services. Those services are offered in not only all areas of bank security governed by the mandates of the five regulators, but all facets of Bank Secrecy Act regulation requirements. Profit Protection, LLC is an Endorsed Provider of the CBA.

REGISTRATION DETAILS

To attend the **2010 Bank Secrecy Act - Anti-Money Laundering Seminar**, please complete the attached registration form and return it to the Association office no later than **Friday, May 7, 2010**. **The registration desk will open at 8:30 a.m. and the seminar will commence at 9:00 a.m. Adjournment is anticipated by 3:30 p.m.** You may FAX your registration prior to the deadline (860.677.5066). All FAX registrants will be billed regardless of their attendance.

Seminar fees are outlined on the registration form and include a comprehensive program workbook, State sales tax, lunch and refreshments. If you have any questions regarding program content or registration procedures, please do not hesitate to call the Association office.

Very truly yours,

LINDSEY R. PINKHAM
Senior Vice President & Secretary

Attachment

Value Added Benefits				
Training Certificate	Comprehensive Workbook	Faculty Accessibility	Drawing for Door Prizes	Free Gift
For all who complete the course, meeting required obligations of the BSA and USA PATRIOT Act	Extensive, easy to follow course-book materials that also serves as a take-home reference guide	All questions to be answered with interactive and shared-knowledge sessions	All are eligible for a drawing for various BSA/AML and OFAC products offered in cooperation with your state association or league	CD-ROM of regulatory guidance and reference

Who Should Attend? This program is for anyone with responsibilities in the Bank Secrecy and anti-money laundering program – BSA/AML officers and employees, risk managers, compliance, bank operations or administration, legal counsel, and auditors.

- **Door Prizes**
- **Comprehensive, interactive shared-knowledge discussions.**
- **Free Gift — Bonus CD**

Registration	8:30 a.m.
Seminar	9:00 a.m.
Adjourn	3:30 p.m.

Seminar Instructor

(one of the following)

Phillips G. Gay, Jr., CAMS, CRCM and CRP, is principal of the National Association for Bank Security/Profit Protection, LLC and the principal of the Compliance Advisory Service, Coral Springs, FL, and a frequent speaker at state and national compliance programs, as well as senior advisor to Paragon Compliance Group, a national compliance training concern. He was formerly Sr. Vice President of Commercial Bank of Florida, Corporate Vice President of First Union Corporation (Wachovia/Wells Fargo) and Sr. Vice President of Bank of North America. He has served in an advisory capacity on numerous government and American Bankers Association committees dealing with regulatory compliance matters.

Greg Benson is associated with NABS/Profit Protection LLC and regularly conducts check fraud, BSA and AML training throughout the U. S. He is former SVP, Sr. Compliance and BSA Officer of Philadelphia-base NOVA Bank, VP of Washington-based Burke and Herbert Bank and Trust Company, and VP/Compliance of E-Trade Financial. He has over 30 years of financial service industry experience at commercial banks, savings and loans, private consulting and trade association work, with special emphasis on compliance and security issues. Mr. Benson served on the U.S. Treasury's Financial Crimes Enforcement Network's Bank Secrecy Act Advisory Group for five years.

REGISTRATION FORM

Bank Secrecy Act/Anti-Money Laundering Seminar May 12, 2010

Crowne Plaza Hotel • 100 Berlin Road • Cromwell, CT 06416 • 1-860-635-2000

Registration fee includes: Seminar Workbook and a Personalized Certificate of Bank Secrecy Act Training, all program materials, luncheon, refreshments and all applicable state sales tax.

REGISTRATION INFORMATION: (Please type or print)

NAME	BADGE NAME	E-MAIL
_____	_____	_____
_____	_____	_____
_____	_____	_____

CBA DISCOUNT MEMBER BANK OR ASSOCIATE MEMBER FEE:

\$245 Per Registrant X _____ = \$ _____

NAME	BADGE NAME	E-MAIL
_____	_____	_____

NON-MEMBER FEE: \$490 Per Registrant X _____ = \$ _____

Bank/Firm _____ Address _____
City/State/ZIP _____ Phone _____
Form Completed By _____

Please Return To: **CONNECTICUT BANKERS ASSOCIATION**
10 WATERSIDE DRIVE, FARMINGTON, CT 06032
FAX 860-677-5066

CANCELLATION POLICY: To assist us in planning, applications should be received no later than May 7, 2010. Cancellation deadline is May 7, 2010. No refunds for cancellations after this date and there is a \$25.00 fee for any before that date. Substitutes are accepted at any time.