



GENERAL BULLETIN

CONNECTICUT
BANKERS
ASSOCIATION
10 Waterside Drive
Farmington, CT 06032-3083
(860) 677-5060
FAX: (860) 677-5066

2010 – 25

February 11, 2010

SENT TO:

TO THE CHIEF EXECUTIVE OFFICER
OR INDIVIDUAL ADDRESSED

CEO, CEO+, CFO, CRO,
ALM & Audit Specialists

**RE: CBA Web Seminar – Complying With The FFIEC Interest Rate Risk Advisory
Wednesday, March 10, 2010 -- 2:30 p.m. - 4:30 p.m.**

On January 7, 2010 FFIEC issued an advisory to all federally insured financial institutions with specific directives on Interest Rate Risk Management. This web seminar will explore the requirements and highlight key issues. The FFIEC Interest Rate Risk (IRR) advisory was viewed by many as a new set of expectations. In fact, these expectations are nothing more than a restatement of their 1996 Joint Agency Policy Statement on Interest Rate Risk. Join David Koch, COO of Farin & Associates as we examine the increased expectations for upcoming interest rate risk exams and what you can do now to prepare.

The advisory provides guidance on:

- Changes in corporate governance, policies and procedures to effectively communicate risks
- Discussion of appropriate measurement and monitoring systems for institutions of all sizes
- Outlines expectations for institutions outsourcing the interest rate risk management process
- How to address risk mitigation strategies, what steps are taken to minimize, prohibit, or fix compliance problems
- How to establish prudent internal controls

HIGHLIGHTS

This program will explore the advisory guidance through the use of actual case data comparing traditional approaches to the new requirements, illustrating the impact on decision making.

Specifically we will cover:

- The difference in using static or dynamic balance sheet projections in measuring income at risk
- How the projection of interest rates impacts ALCO decisions
- What pitfalls exist in typical interest rate risk policies
- Why Economic Value Methods make sense, when properly applied
- How the future rules on liquidity risk management due out this Spring/Summer will impact the Interest Rate Risk process
- What 3 things you can do now to begin to comply

AUDIENCE

CEOs, CFOs, Controllers, Directors, Senior Bank Officers and Auditors.

SPEAKER

David W. Koch is an industry lecturer and consultant for the financial institution industry. He has delivered educational programs for national and state industry trade groups, in addition to several Federal Home Loan Banks. He serves on the faculty of the Graduate School of Banking at the University of Wisconsin. Since joining Farin in 1993, Mr. Koch has been a frequent speaker on asset/liability and interest rate risk management to financial executives across the country. In addition to the speaking roles, Mr. Koch also consults with financial executives to help find creative solutions to their business challenges.

WHAT IS A WEB SEMINAR?

A web seminar is an enhanced telephone seminar. The audio portion is delivered by speaker phone. However, you may now view a corresponding PowerPoint presentation using a PC or PC connected to an A/V projector. No special hardware is needed. You may still participate by phone only. The program consists of 90 minutes of instruction and 30 minutes live Q&A. Each registration provides one connection to the web seminar, materials and 30 days access to the online seminar. You may have unlimited listeners on your connection by speaker phone and PC. You will receive a confirmation with your PIN, materials and instructions. If you do not receive a confirmation within two days of the event call 888-262-7701. You may also purchase the CD and materials.

Free 30-Day Replay - Within five working days after the broadcast, you will receive a URL providing unlimited access to a recorded copy of the presentation for 30 days. This enables you to participate in the live web seminar, train additional staff and review the material as needed.

Transmission, retransmission or republishing of the audio portion of the web seminar is prohibited. Your registration entitles you to one connection at one location with permission to make copies of materials for participants. Please complete one registration per location.

The **On-Demand Web Seminar** is a live web seminar that has been recorded and then streamed to your computer to watch at your convenience. The program can be viewed anytime 24/7. You can watch a portion, come back and pick up where you left off! Anyone at your branch may access the program from a computer using the login and password. When purchasing an On-Demand Web Seminar, you have unlimited access to the program for 6 months from the date of purchase.

REGISTRATION INFORMATION

Registrations are requested to be submitted three days prior to the date of the web seminar. On-line registrations can be made at <http://www.bankersed.com/CTBANKERS/>. For all other registrations, please complete the attached registration form and send directly to Bankers Ed in advance of the program. Fee information for this program is listed on the registration form. **Payment is expected at the time of registration unless other arrangements are made prior to the event with the CBA.**

Late Registration: Registrations are accepted until the program starts. To ensure timely delivery of materials, etc., please register online when registering **two working days prior** to the broadcast (credit cards, e-checks and invoices accepted). If you would like assistance with online registration, contact 888-262-7701.

Please do not hesitate to contact either Kathy Parks or me if you have any questions about this web seminar.

Very truly yours,

LINDSEY R. PINKHAM
Senior Vice President & Secretary

CBA WEB SEMINAR

COMPLYING WITH THE FFIEC INTEREST RATE RISK ADVISORY

Wednesday, March 10, 2010

2:30 p.m. - 4:30 p.m. (EST)

REGISTER (Each registration entitles you to one connection at one location where an unlimited number of listeners may participate):

Name _____ Title _____
Company _____
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City _____ State _____ Zip _____
Phone _____ Fax _____ E-mail _____

FOUR WAYS TO REGISTER FOR CBA WEB SEMINARS:

Prior to March 3rd

Mail: Complete this form, include payment and **mail it to:** Bankers Ed: 5700 S. Mopac, #C310, Austin, TX 78749

Anytime Prior to the Program

On-line: Register on-line at <http://www.bankersed.com/CTBANKERS/>

Fax: Complete the registration and credit card information below and fax to: 512-381-1571

Phone: Call 888-262-7701 with credit card information

CBA WEB SEMINAR – COMPLYING WITH THE FFIEC INTEREST RATE RISK ADVISORY

Wednesday, March 10, 2010 - (Seminar code: SW2-1266)

Web Seminar/Materials (live hookup) \$255 member \$510 non-member

On-Demand Web Seminar/Materials \$275 member \$550 non-member

METHOD OF PAYMENT (check one):

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For registration questions, please contact Bankers Ed customer support at 888-262-7701 or Kathy Parks at the Connecticut Bankers Association parks@ctbank.com at 860-677-5060.

*****Registrations must be sent to Bankers Ed*****

(Seminar code: SW2-1266)